## CS 2731 Human Language Technologies

Session 6: N-gram language models part 2, text classification

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## Course logistics

- Homework 1 is due next Thu Sep 25
- Project Match Day is in class this Wed Sep 17. You will form groups of 2-4 students from the project list
  - Consider which projects you'd like to work on from the <u>list of project</u> options

# Lecture overview: N-gram language models part 2, text classification

- Smoothing to handle zeros in n-gram language models
- Coding activity: build your own n-gram language model!
- Text classification
- Evaluation of text classification
  - o Precision, recall, f1-score
  - Train/dev/test and cross-validation sets
- Harms in classification
- Coding activity
  - Clickbait classification evaluation

# The problem of zeros in n-gram language models

#### The Perils of Overfitting

N-grams only work well for word prediction if the test corpus looks like the training corpus

- In real life, it often doesn't
- We need to train robust models that generalize!
  - One kind of generalization: Zeros!
  - Things that don't ever occur in the training set but occur in the test set

## N-grams in the test set that weren't in the training set

Suppose our bigram LM, trained on Twitter, reads a document by the philosopher Wittgenstein:

Whereof one cannot speak, thereof one must be silent.

This contains the bigrams: whereof one, one cannot, cannot speak, speak [comma], [comma] thereof, thereof one, one must, must be, be silent.

Suppose "whereof one" never occurs in the training corpus (train) but whereof occurs 20 times. According to MLE, it's probability is

$$P(\text{one}|\text{whereof}) = \frac{c(\text{whereof}, \text{one})}{c(\text{whereof})} = \frac{0}{20} = 0$$

The probability of the sentence is the **product** of the probabilities of the bigrams. What happens if one of the probabilities is zero?

## Laplace and Lidstone smoothing

## The intuition of smoothing

When we have sparse statistics:

P(w | denied the)

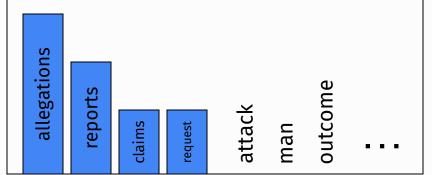
3 allegations

2 reports

1 claims

1 request

7 total



Steal probability mass to generalize better

P(w | denied the)

2.5 allegations

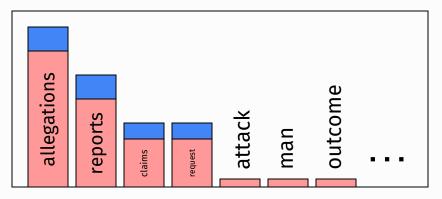
1.5 reports

0.5 claims

0.5 request

2 other

7 total



#### Laplace smoothing: Pretending that we saw each word once more

MLE estimate 
$$P_{MLE}(w_i|w_{i-1}) = \frac{c(w_{i-1}, w_i)}{c(w_{i-1})}$$
  
Add-1 estimate  $P_{Add-1}(w_i|w_{i-1}) = \frac{c(w_{i-1}, w_i) + 1}{c(w_{i-1}) + |V|}$ 

Where *V* is the vocabulary of the corpus.

## Laplace smoothing is too blunt

Problem: A large dictionary makes rare words too probable.

Solution: instead of adding 1 to all counts, add k < 0.

How to choose *k*?

## How to choose k?

	Add-0.001 Smoothing						
Doesn't smooth much							
	xya	1	1/3	1.001	0.331		
	xyb	0	0/3	0.001	0.0003		
	хус	0	0/3	0.001	0.0003		
	xyd	2	2/3	2.001	0.661		
	xye	0	0/3	0.001	0.0003		
	xyz	0	0/3	0.001	0.0003		
72	Total xy	3	3/3	3.026	1		

#### How to choose *k*?

- Hyperparameter!
  - Try many k values on dev data and choose k that gives the lowest perplexity
  - Report result on test data
- Could tune this at the same time as n in ngram LM

## Coding activity: build your own n-gram LMs

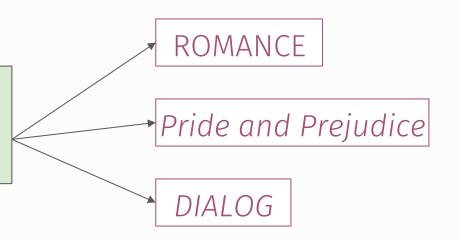
## N-gram language models with nltk on JupyterHub

- Click on this nbgitpuller link
- Open session5\_ngram\_lm.ipynb

## Text classification

#### Text classification

"My dear Mr. Bennet," said his lady to him one day, "have you heard that Netherfield Park is let at last?"





## Is this spam?

Subject: Important notice!

From: Stanford University <newsforum@stanford.edu>

Date: October 28, 2011 12:34:16 PM PDT

To: undisclosed-recipients:;

#### **Greats News!**

You can now access the latest news by using the link below to login to Stanford University News Forum.

http://www.123contactform.com/contact-form-StanfordNew1-236335.html

Click on the above link to login for more information about this new exciting forum. You can also copy the above link to your browser bar and login for more information about the new services.

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#### What is the subject of this medical article?

#### **MEDLINE Article**



## MeSH Subject Category Hierarchy

Antagonists and Inhibitors

**Blood Supply** 

Chemistry

Drug Therapy

Embryology

Epidemiology

. . .

#### **Text Classification**

We have a set of documents that we want to classify into a small set classes.

#### Applications:

- Topic classification: you have a set of news articles that you want to classify as finance, politics, or sports.
- Sentiment detection: you have a set of movie reviews that you want to classify as good, bad, or neutral.
- Language Identification: you have a set of documents that you want to classify as English, Mandarin, Arabic, or Hindi.
- **Reading level:** you have a set of articles that you want to classify as kindergarten, 1st grade, ...12th grade.
- Author identification: you have a set of fictional works that you want to classify as Shakespeare, James Joyce, ...
- **Genre identification:** you have a set of documents that you want to classify as report, editorial, advertisement, blog, ...

#### Example: Sentiment Detection

	Cat	Documents
Training	-	just plain boring
	-	entirely predictable and lacks energy
	-	no surprises and very few laughs
	+	very powerful
	+	the most fun film of the summer
Test	?	predictable with no fun

## How to evaluate your classifier

#### Gold labels and predicted labels

Document	gold label	predicted label
just plain boring	_	_
entirely predictable	_	_
no surprises and very few laughs	_	+
very powerful	+	_
the most fun film of the summer	+	+

The **gold** label is the label that a human assigned to the document.

The **predicted** or **hypothesized** label is the label that the classifier assigned to the document.

#### We Can Evaluate a Classifier Using Accuracy

**Accuracy** is our first shot.

Accuracy:

how many instances your system got right all instances in the test set

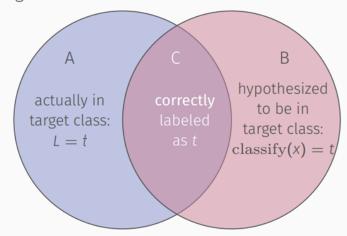
## Issues with using test set accuracy

- Imagine an "important email" classifier that notifies you when you get an important email
- Suppose that 99% of the messages you receive are junk and not important (we're being realistic here)
- An easy important email classifier: classify nothing as important
  - You would get lots of work done, because you wouldn't be distracted by email
  - The email classifier would have an accuracy of ~99%
  - Everybody would be happy except for your boss
- You must take the relative importance of the classes into account, and the cost of the error types

#### Evaluation in the Two-class case

- Suppose we have one of the classes  $t \in \mathcal{L}$  as the target class.
- We would like to identify documents with label t in the test data.

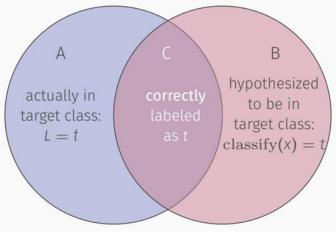
· We get



- Precision  $\hat{P} = \frac{C}{B}$  (percentage of documents classify correctly labeled as t)
- Recall  $\hat{R} = \frac{C}{A}$  (percentage of actual t labeled documents correctly labeled as t)

• 
$$F_1 = 2\frac{\hat{P} \cdot \hat{R}}{\hat{P} + \hat{R}}$$

#### A Different View – Contingency Tables



	L = t	L≠t	
classify(X) = t	C (true positives)	$B\setminus C$ (false positives)	В
$classify(\mathit{X}) \neq t$	A\C (false negatives)	(true negatives)	
	А		

precision =
tp/(tp+fp)

recall = tp/(tp+fn)

## Why precision and recall

- o 2-way precision and recall are specific to a target class
- Accuracy=99% on important email detection but
- Recall = 0 (out of all actually important emails, got none)
- Precision and recall, unlike accuracy, emphasize true positives: finding the things that we are supposed to be looking for

#### A combined measure: F1-score

We almost always use balanced  $F_1$  (i.e.,  $\beta = 1$ ). Harmonic mean

$$F_1 = \frac{2PR}{P+R}$$

## Confusion matrix for 3-class classification

gold labels					
		urgent	normal	spam	
	urgent	8	10	1	$\mathbf{precisionu} = \frac{8}{8+10+1}$
system output	normal	5	60	50	$\mathbf{precision}_{n} = \frac{60}{5+60+50}$
	spam	3	30	200	precisions= $\frac{200}{3+30+200}$
		recallu =	recalln=	recalls =	
		8	60		
		8+5+3	10+60+30	1+50+200	

#### Evaluation with > 2 Classes

- Macroaveraged precision and recall: let each class be the target and report the average  $\hat{P}$  and  $\hat{R}$  across all classes.
- Microaveraged precision and recall: pool all one-vs.-rest decisions into a single contingency table, calculate  $\hat{P}$  and  $\hat{R}$  from that.

#### Example of more than two classes

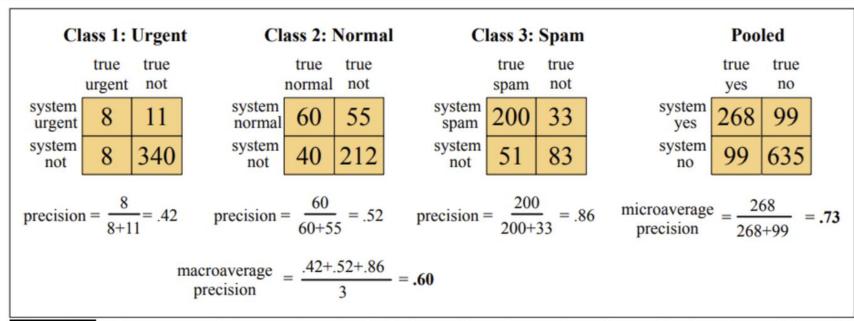


Figure 4.6 Separate confusion matrices for the 3 classes from the previous figure, showing the pooled confusion matrix and the microaveraged and macroaveraged precision.

## Train/dev/test splits and cross-validation

## Development Sets ("Devsets") and Cross-validation

Training set

Development Set

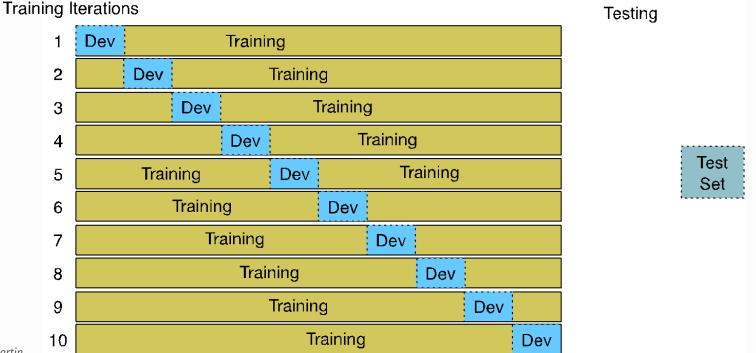
Test Set

Train on training set, tune on dev set, report on test set

- Do not look at test set
- Using a dev set avoids overfitting ('tuning to the test set')
- More conservative estimate of performance
- But paradox: want as much data as possible for training, and as much for dev; how to split?

## Cross-validation: multiple splits

- Pool results over splits, Compute pooled dev performance
- Good for when you don't have much data (<10k instances rule of thumb)</li>



## Harms in classification in NLP

#### Harms in sentiment classifiers

Kiritchenko and Mohammad (2018) found that most sentiment classifiers assign lower sentiment and more negative emotion to sentences with African American names in them.

This perpetuates negative stereotypes that associate African Americans with negative emotions

## Harms in toxicity classification

Toxicity detection is the task of detecting hate speech, abuse, harassment, or other kinds of toxic language

But some toxicity classifiers incorrectly flag as being toxic sentences that are non-toxic but simply mention identities like blind people, women, or gay people.

This could lead to censorship of discussion about these groups.

#### What causes these harms?

#### Can be caused by:

- O Problems in the training data; machine learning systems are known to amplify the biases in their training data.
- O Problems in the human labels
- Problems in the resources used (like lexicons)
- Problems in model architecture (like what the model is trained to optimized)

Mitigation of these harms is an open research area

Can't fully "remove" bias because exists in societies that produced texts we use

So need to be explicit about what those biases may be through data statements and model cards

#### Data statements [Bender & Friedman 2018]

#### For each dataset you release, document:

- Curation rationale: why were certain texts selected
- Language variety
- Speaker demographic
- Annotator demographic
- Speech situation
  - o Time and place, modality, scripted vs spontaneous, intended audience
- Text characteristics
  - o Genre, topic
- Recording quality (for speech)

#### Model cards [Mitchell et al. 2019]

For each algorithm you release, document:

- training algorithms and parameters
- O training data sources, motivation, and preprocessing
- evaluation data sources, motivation, and preprocessing
- O intended use and users
- model performance across different demographic or other groups and environmental situations

## Coding activity: clickbait classifier evaluation

#### Clickbait classification evaluation

- Click on this nbgitpuller link
  - Or find the link on the course website
- Open session6\_clickbait\_eval.ipynb

#### Conclusion

- Smoothing can handle the problem of unseen n-grams in n-gram language models
- Text classification is an NLP task learning a mapping from texts to a set of discrete labels
- Classifiers are evaluated with accuracy, precision, recall and F1-score
- Cross-validation is an alternative to train/dev/test split to estimate performance
- Text classification systems can be biased against the language or references to marginalized groups

# Questions?